



# **NORTH ORISSA UNIVERSITY**

## **REGULATION FOR DOCTOR OF PHILOSOPHY**

### **IN THE FACULTIES OF**

**ARTS, SCIENCE, TECHNOLOGY, COMMERCE & MANAGEMENT, EDUCATION,  
LAW AND MEDICINE**

### **UNDER**

### **NORTH ORISSA UNIVERSITY**

**(IN ACCORDANCE WITH UGC REGULATION, 2009)**

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**NORTH ORISSA UNIVERSITY  
SRIRAM CHANDRA VIHAR, BARIPADA-757003**

**REGULATION FOR DOCTOR OF PHILOSOPHY EXAMINATION IN FACULTIES  
OF  
ARTS, SCIENCE, TECHNOLOGY, COMMERCE & MANAGEMENT, EDUCATION,  
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The following shall be the Regulation for Doctor of Philosophy Examination in Faculties of Arts, Science, Technology, Commerce & Management, Education, Law and Medicine etc. under the North Orissa University.

1. **SUBJECTS APPROVED BY THE UNIVERSITY:** Botany, Chemistry, Commerce, Management, Computer Science & Information Technology, Economics, Education, English, Geology, History, Law, Life Sciences, Mathematics, Odia, Philosophy, Psychology, Physics, Political Science, Sanskrit and Zoology and any other subject approved by the University from time to time.
2. **ADMISSION NOTIFICATION:** The notification for admission, the schedule of entrance test and interview will be notified by the Controller of Examinations (COE) of North Orissa University in the month of October every year.

The supervisors are required to provide information, in the month of September every year, to the COE with regard to how many students s/he is willing to guide for Ph.D. work during that session, for which no separate letter or advertisement shall be issued by the COE in this regard.

The number of seats available in each subject for Ph.D. registration be notified by the COE upon receiving information from the recognized supervisors of different Departments, Post-Graduate Colleges and recognized centres for research. This information need to be available in the University website before the admission notification is published.

**3. ELIGIBILITY OF APPLICANT:**

- 3.1 Master's Degree holders having secured at least 55 % marks (50% for SC & ST candidates), or equivalent grade at Master's Degree, in the above mentioned subjects of North Orissa University or any other University recognized as equivalent thereto by North Orissa University are eligible for Ph. D registration, provided they qualify an Entrance Test followed by an Interview.(ET & I)

- 3.2 The candidates who have qualified UGC-CSIR-NET/GATE/INSPIRE fellowships of DST and awardees of Teacher Fellowship are exempted from such tests and interviews.
- 3.3 M. Phil. Degree holders, in concerned subject, are also exempted from entrance test and interview provided they have been admitted to M. Phil. programme through an entrance test as per UGC guideline, 2009.
- 3.4 The successful candidates will be eligible for Ph.D. registration in the relevant subject at the Master's level. Registration in allied/ multidisciplinary/ interdisciplinary subjects other than his/her subject at the Master's level will be decided by the Subject Research Committee (SRC).

**4. ENTRANCE TEST AND INTERVIEW (ET&I) FOR Ph.D. REGISTRATION:**

- 4.1 The eligible candidates are required to apply to the COE in the prescribed format (**Appendix-I**), available from the office of the COE or which can be downloaded from the University website [www.nou.nic.in](http://www.nou.nic.in). The completed application must be submitted to the office of the Controller of Examinations, by registered post or by hand, along with the requisite fee (Table 1) in the form of account payee bank draft drawn in favour of the Comptroller of Finance, North Orissa University, payable at Baripada.
- 4.2 All eligible candidates will be intimated by the COE to appear the ET & I. ET&I will be conducted at least once in a year, if sufficient numbers of applications are available.
- 4.3 A merit list of successful candidates, prepared on the basis of performance in ET&I, shall be published by the COE. The successful candidates shall be intimated by the COE immediately.

**5. PRE-Ph.D. COURSEWORK (PPC):**

- 5.1 All candidates including exempted candidates are required to apply in the prescribed form (**Appendix-II**), which shall be available from the office of the COE or can be downloaded from the University website [www.nou.nic.in](http://www.nou.nic.in), for admission into PPC after depositing the requisite fee (Table 1) in the form of account payee bank draft drawn in favour of the Comptroller of Finance, North Orissa University, payable at Baripada.
- 5.2 Each candidate has to undertake a Pre-Ph.D. coursework (PPC) of one semester (six months) in the concerned subject. The PPC will be organized in any of the following places as decided by the University from year to year:
- University Campus
  - Any PG College of the University

- Any of the approved research centers

- 5.3 Attendance:** A candidate shall be required to attend at least 75% of the lectures and practical classes taken separately.
- 5.4** After the completion of the PPC, examination shall be organized by the Controller of Examinations of the University at the centres decided by the University. The mode of examination for theory shall include written examination at the end of the PPC. Duration of examination for each theory paper (05 credits) shall be of 3 hours. The candidates shall have to answer 5 questions one from each unit. Duration of the practical examinations shall be 6 hours. The answer scripts will be evaluated by internal examiners. However, the COE may send any paper to be evaluated by outside examiners. To pass PPC, a student has to secure at least 50% marks in aggregate.
- 5.5 Hard Case (For theory only):** A maximum of 2% grace mark shall be awarded in one or more theory paper(s) only in order to enable a candidate to pass the Pre-Ph.D. Examination. A candidate who is otherwise eligible to appear in the examination but fails in the same or fails to appear in the examination or wants to improve performance can reappear the same examination as an ex-student not more than twice within three years of his/her admission into the said course.
- 5.6** The results of the examination shall be published by the Controller of Examinations of the University, which shall valid for one year from the date of notification regarding publication results.

## **6. PRESENTATION OF SYNOPSIS**

- 6.1** An eligible candidate, after successful completion of the PPC from North Orissa University, shall apply, within 15 days from the day of publication of result, for presentation of the synopsis in the prescribed form (**Appendix-III**), which shall be available from the office of the COE or can be downloaded from the University website [www.nou.nic.in](http://www.nou.nic.in). The completed application must be submitted in the office of the COE, by registered post or by hand, along with the requisite fee (Table 1) in the form of account payee bank draft drawn in favour of the Comptroller of Finance, North Orissa University, payable at Baripada.
- 6.2** The COE shall convene the Subject Research Committee (SRC) on date(s) approved by the Vice-Chancellor. Letters to the persons concerned be communicated by the COE. All

SRC meetings shall be coordinated by the Controller of Examinations/the person authorized by the COE.

- 6.3 Applications for Ph.D. registration shall be placed before the SRC. A candidate has to give a presentation in support of her/his proposed work. The presentation shall include objective of the work, review of literature, materials and methods to be used, possible outcome of the proposed work and tentative time frame.
- 6.4 In addition to SRC members, faculty of PG Department and faculty of allied subjects, those who are interested, may attend this presentation. It is the responsibility of the candidate to invite her/his supervisor(s) to remain present at the time of his synopsis presentation. If any SRC member is the supervisor, s/he shall not participate in the decision making of her/his candidate.
- 6.5 The SRC may accept, suggest necessary changes or reject any application. If the SRC recommends a candidate for registration, the candidate must be informed, in writing, by the COE within 60 days of presentation of synopsis.
- 6.6 The SRC shall record the suggestions/suggested changes/ reasons of rejection to the COE. If the SRC recommends for revision of synopsis, the candidate is required to resubmit a revised synopsis to the Controller of Examinations. If the candidate fails to resubmit the revised synopsis within the schedule time, as suggested by SRC, it shall be construed that the applicant is no more interested to pursue the proposed degree under the University. After receiving the revised synopsis, the COE shall forward the same to the person as suggested by the SRC, who will take a decision on acceptance or rejection of the revised synopsis. The entire process has to be completed within 60 days from the date of presentation of synopsis. In case the SRC rejects the synopsis and does not recommend for registration, the same shall be communicated by the COE to the candidate, in writing, with reasons. Further, the candidate may apply afresh for fresh presentation if s/he desires.

## **7. APPLICATION FOR REGISTRATION:**

- 7.1 An eligible candidate, after successful presentation of the synopsis, shall apply for Ph.D. registration in the prescribed form (**Appendix-IV**), which shall be available from the office of the COE or can be downloaded from the University website [www.nou.nic.in](http://www.nou.nic.in). The completed application must be submitted in the office of the COE, by registered post or by hand, along with the requisite fee (Table 1) in the form of account payee bank draft drawn in favour of the Comptroller of Finance, North Orissa University, payable at Baripada.

**7.2** The candidate shall give an undertaking that s/he has not been registered for Ph. D. under North Orissa University or any other University. However, if a person has already been awarded a Ph. D, s/he may apply for a new registration in a different subject if otherwise eligible.

**7.3** At least one of the supervisors and/or the scholar must be within the administrative/academic jurisdiction of North Orissa University.

**7.4** The date of registration shall be from the date of application in **Appendix-III**.

## **8. VALIDITY OF REGISTRATION:**

**8.1** The registration shall remain valid for 5 years from the date of registration.

**8.2** A candidate who becomes unable to submit thesis within 5 years of registration is required to apply for extension. The candidate has to apply in the appropriate form (**Appendix-V**), which shall be available from the office of the COE or can be downloaded from the University website [www.nou.nic.in](http://www.nou.nic.in), along with the requisite fee (Table 1), not later than one month from the date of completion of the 5 years term, from the date of registration.

**8.3** A candidate, however, shall be allowed extension on the recommendation of the SRC.

**8.4** Normally, such extension will be granted only for one year. However, in exceptional cases, extension may be granted annually for not more than three times after completion of five years of registration. However, the candidate has to apply for extension in the appropriate form (**Appendix-V**), not later than one month of completion of each term.

**8.5** After eight years, from the date of registration, if the candidate fails to submit Ph.D. thesis, the registration shall be automatically cancelled.

## **9. SUPERVISOR/CO-SUPERVISOR:**

### **9.1 Eligibility Criteria:**

- (i) Any faculty member of a College/University can be an approved supervisor after two years of obtaining Ph.D. degree in the subject concerned/ allied subject.
- (ii) Must have at least two research publications in journals of repute.
- (iii) Must be a Lecturer or Assistant Professor, Reader or Associate Professor or Professor (either in service or retired) in any University or College with at least five years of PG teaching or eight years of Honours teaching experience.  
Or a Research personnel with at least five years of Post-Doctoral research experience in any research institute of repute.

(iv) As per 2009 regulation of UGC, the existing supervisors need to fulfill the above criteria and be recommended by the SRC for accepting fresh candidates.

**9.2** The prospective supervisors are required to apply in prescribed form (**Appendix-VI**), which shall be available from the office of the COE or can be downloaded from the University website [www.nou.nic.in](http://www.nou.nic.in).

**9.3** Research experience and publications of the prospective supervisors, on application, shall be considered by SRC for eligibility for guidance of doctoral dissertation. After the recommendation of SRC the same be approved by the Vice-Chancellor. The COE shall notify accordingly.

**9.4 Intake capacity of Supervisor:** A supervisor shall not have, at point of time, more than eight Ph.D. scholars and five M. Phil. scholars.

**9.5 Co-supervisor:** A research scholar may prefer to take a co-supervisor, in addition to a supervisor, if the work is of interdisciplinary or multidisciplinary nature. The justification of a co-supervisor must be given at the time of application (**Appendix-III**). The SRC shall scrutinize all such applications and recommend whether it is essential to take a co-supervisor for that particular research project. However, a student can take only one co-supervisor if necessary. If both the scholar and the supervisor feel the necessity of a co-supervisor, at any stage of work after registration, and if the candidate does not have a co-supervisor already, the candidate can apply through the supervisor stating the justification of a co-supervisor, to the COE for approval. The COE, however, shall place the matter before the next SRC for ratification. If the SRC does not ratify, the matter may be placed before the Vice-Chancellor, whose decision shall be final on this regard.

## **10. ALLOCATION OF SUPERVISOR:**

**10.1** The allocation of the supervisor for a selected student shall be decided by the Department in a formal manner depending on the number of student(s) per faculty member, the available specialization among the faculty supervisors, and the research interest of the student as indicated during interview by the student. However, the allocation of supervisor shall not be left to the individual student or teacher.

**10.2** If the candidate wants to change supervisor/co-supervisor, at any stage during the work after registration but before thesis submission, the candidate has to apply in **Appendix-VIII** with justification. However, the allocation of a new supervisor for a registered scholar shall be decided by the Department in a formal manner depending on the number of student per faculty member, the available specialization among the faculty supervisors, and the research interest of the student as indicated during interview by the student. Only after the allocation of a new supervisor, the candidate is then required to submit the application to the COE (Appendix-VIII) for approval. The COE shall place it before the next SRC for ratification. In case of dispute, if any, the matter shall be placed before the RCU, whose decision is final on this matter.

**11. FUNCTIONS OF THE SUPERVISOR AND CO-SUPERVISOR:**

- 11.1** A supervisor shall not accept, at any given point of time, more than the maximum number of scholars as per Clause 9.4.
- 11.2** The supervisor shall give a certificate, along with the application for Registration (**Appendix-IV**), that the applicant is a befitting person, who is well conversant with the work s/he intends to undertake for Ph.D.
- 11.3** The supervisor and/or the co-supervisor need to remain present at the time of presentation of synopsis for registration.
- 11.4** The supervisor/co-supervisor are required to forward the half yearly progress report of the scholar to the COE.
- 11.5** They are also required to forward the application of the scholar citing proper reasons, while the scholar submits his/her application for extension of registration beyond the stipulated period.
- 11.6** The supervisor and/or the co-supervisor shall inform the Controller of Examinations his/her inability to guide a registered scholar giving reasons thereof when such a case arises. The COE shall place the matter before the RCU for a decision.
- 11.7** If required, the supervisor shall recommend, with reasons, for a partial modification of the title of the thesis to the COE, for approval. The COE shall place it before the next SRC for ratification. If the SRC does not ratify, the matter shall be placed before the RCU, whose decision is final on this matter.
- 11.8** The supervisor(s) shall recommend the thesis for valuation by forwarding the letter of the candidate.

**11.9** A certificate must be given by the supervisor(s) stating that:

- (i) The work done by the scholar is within the area of research and the registered topic.
- (ii) The research conducted by the scholar is original in nature.
- (iii) The content of the thesis has not been submitted previously in the form of thesis or dissertation to this or any other University for award of any Degree or Diploma. (This certificate should be incorporated in the thesis).

**11.10** The supervisor shall submit a list of names, in the prescribed format (Appendix –VIII) of eight to ten examiners drawn exclusively from outside the state of Orissa (except for Oriya, in which at least two names be from outside the state of Orissa). While preparing the list of examiners, care should be taken on the seniority, experience and expertise of proposed examiners. The Supervisor shall intimate the following information of all proposed examiners:

- (i) Area of specialization
- (ii) Years of experience
- (iii) E-mail id
- (iv) Any one or more telephone number(s) of Office, Residence and Mobile
- (v) Complete mailing address

**11.11** The Supervisor shall be the Chairman of the Board of Examiners for viva-voce. In case of unavailability of the supervisor, the co-supervisor shall discharge all such responsibilities.

**11.12** The supervisor shall invite the members of SRC to attend viva-voce examination.

**11.13** All Supervisors shall give declaration that that the candidate is not a near relative, as defined for other examinations of the University. On declaration by the supervisor/co-supervisor, if the candidate is a near relative, then the HOD/Chairman of Board of Studies/Chairman of SRC of that subject, as decided by the Vice-Chancellor, shall act as the Chairman of Board of Examination.

## **12. PLACE OF RESEARCH AND PLACE OF COURSEWORK:**

**12.1** A candidate can carry out research for a Ph.D. degree in the concerned or allied PG teaching Department of North Orissa University.

**12.2** A candidate can also carry out research for a Ph.D. degree in any of the following places:

- (i) Constituent colleges of North Orissa University with Honours teaching facility in the concerned subject.

(ii) Autonomous Colleges of North Orissa University offering that subject.

(iii) Any institute recognized by the North Orissa University

provided that, the Syndicate of North Orissa University has approved the University, College or Research Institute as a Recognized Place of Research of North Orissa University. However, any reputed and recognized research institute of the country, on its consent, can be approved as a recognized centre of research, which need to be ratified in the Syndicate. Such recognition process shall be initiated by the Controller of Examinations upon getting a request from the Head of the Institute concerned. The Controller of Examinations shall communicate the final decision of the Syndicate to the concerned institute.

**12.3** The concerned or allied PG teaching Department of North Orissa University shall be the place of research for those approved subjects in which there is PG teaching Department in the University campus.

**12.4** For the subjects in which there is no PG teaching Department in the University campus, the University can recognize any University, College or Research Institute, as a place of coursework. However, upon the request of the Head of the institute, the Vice-Chancellor shall appoint a committee to inspect the concerned institute. Upon getting recommendation from the Committee the matter may be referred to the RCU. If the RCU is satisfied, then the matter be placed in the Syndicate of North Orissa University. The Controller of Examinations shall communicate the final decision of the Syndicate to the concerned institute.

**13.** The University shall organize such course works in the respective institutes, as per University norms.

**14. SUBMISSION OF THESIS:**

**14.1** A registered student is eligible to submit Ph.D. thesis on completion of two years from the date of registration subject to fulfillment of the criteria furnished below under clause 14.2 to 14.6;

**14.2** Prior to the submission of the thesis, the candidate has to make a pre-Ph.D presentation, in the presence of supervisor(s), in the Department or the place of research, that may be open to all faculty members and research students for getting feedback and comments, which may be suitably incorporated into the draft thesis under the advice of the supervisor. This presentation, on a written request by the candidate or any of the supervisors, under intimation to the COE, shall be convened by the Head of the Department or the Head of the

Institution or the Principal of the College or the Controller of Examinations, as the case may be, which shall duly be informed to the COE.

**14.3** The Ph.D. candidate should have at least one publication based on the findings of his/her research in a referred journal before the submission of the thesis. Such publication has to be made after six months from the date of registration and should be relevant to his/her Ph.D. research.

**14.4** The scholar needs to mention the affiliation/acknowledgement of the University while publishing any paper based on the topic of research.

**14.5** Requirement at the time of submission of thesis: The thesis shall be submitted to the COE along with:

- (i) Photocopy of the original letter of Registration issued by the COE.
- (ii) Photocopy of any other letter issued by the COE, with regard to extension of registration or modification.
- (iii) A certificate from the supervisor/ co-supervisor that the work done by the candidate is original and is consistent with the approved synopsis.
- (iv) The thesis should be forwarded in the body of the thesis by HOD/Principal of the College/Head of the Institution.
- (v) Prescribed fee in the form of account payee bank draft in favour of the Comptroller of Finance, North Orissa University, payable at Baripada.
- (vi) Two soft copies in the form of CD or DVD of the thesis in PDF format (The University shall submit a soft copy of the Ph.D. thesis to the UGC within 30 days of notification of award for hosting the same in INFLIBNET, which will be accessible to all).
- (vii) Three soft-bound copies of the thesis, with an additional copy for co-supervisor, if any, for examination. The COE may ask for additional copies, if the examiner(s) neither return the thesis nor evaluate it and another examiner is selected to evaluate the thesis.
- (viii) One hard-bound copy of the thesis for the library. If the examiner(s) specifically suggest some modifications of the thesis, the candidate shall incorporate modifications and resubmit only one hard bound copy of the thesis, to the COE, for the library. These modifications must also be incorporated, by the candidate, in CD or DVD.

(ix) Three copies of the abstract of the research findings, not exceeding 1500 (one thousand five hundred words).

(x) The reprint of one research paper in the subject matter of his/her thesis, published in a referred / indexed journal after registration in Ph.D. programme or produce evidence for the same in the form of acceptance letter.

**14.6 Language of the thesis:** The language of the entire thesis shall be in English. In case of language subjects such as Oriya, Hindi, Sanskrit, Parsi, Urdu etc., the candidate can write the thesis in that particular language or in English.

**14.7 RESPONSIBILITY OF THE CANDIDATE:**

The research data generated by a scholar are considered as the property of the University. If a candidate leaves the University anytime, s/he is required to seek permission of the University before taking any data.

**15. EVALUATION OF THESIS AND EXAMINERS'S REPORT:**

**15.1** The Board of Examiners shall be as follows:

- (I) Examining Thesis
  - (i) Supervisor
  - (ii) Co-supervisor, if any
  - (iii) Two External Examiners (From outside the state except for Oriya)
- (II) Viva-voce
  - (i) Supervisor-Chairman
  - (ii) Co-supervisor-Member
  - (iii) One out of two external examiners who has examined the thesis-Member

**15.2** The Controller of Examinations shall seek the consent of two external examiners and the internal examiners (Supervisors) by telephone/E-Mail/Speed Post, after the list of examiners is approved by the Vice-Chancellor. If the examiner does not respond within four weeks, the Controller of Examinations may seek the consent, by E-Mail (and also by Speed Post), of the next person in the list of examiners approved.

**15.3** The thesis must be dispatched to the examiner for valuation within seven days of receiving consent from the concerned examiner.

**15.4** The examiners must be requested to return the evaluated thesis, their recommendation and Examiner's report within one month time, in the format provided by the University. The

report of the examiner, through E-mail or Fax may also be accepted, provided the report bears the signature (scanned) of the examiner.

- 15.5** If any examiner does not respond within one month, the Controller of Examinations shall request reminding him/her to expedite the valuation. If the examiner still does not respond s/he may be politely requested to return the thesis. In case of no response, the thesis may be sent for valuation to the next person in the list of examiners approved.
- 15.6** If any examiner suggests any kind of modification, the candidate must be communicated immediately to incorporate modifications and resubmit the thesis within two weeks.
- 15.7** Viva-voce is mandatory for the award of Ph. D. degree. After getting favorable examiners reports the viva-voce date will be fixed. The COE in consultation with the supervisor and approval from the Vice-Chancellor, shall fix a date, time and venue for the conduct of viva-voce of the scholar. The Vice-Chancellor shall approve the list of examiners, who will be invited to conduct viva-voce.
- 15.8** If any one of the examiners does not recommend, then the matter may be referred to the RCU. If the RCU recommends that the thesis may be evaluated by another examiner, the thesis may be sent by the COE to the person, after the approval of the Vice-Chancellor.
- 15.9** If both the external examiners disapprove, the candidate may be intimated accordingly.
- 15.10** If any one of the examiners fails to attend the viva-voce, on the scheduled date which has already been fixed, due to unavoidable circumstances, alternative arrangements may be made by the COE with the approval of the Vice-Chancellor.

**16. THE SUBJECT RESEARCH COMMITTEE (SRC):**

**16.1** The SRC shall consist of at least five members: The SRC shall be constituted by the Vice-Chancellor. The SRC is to be convened as and when required as per the provision of the regulation. The members of SRC will also be invited, by the supervisor or the chairman of the board of examiners of viva-voce, to attend viva-voce of the Ph.D. examination.

**16.2 Functions of SRC:**

- (i) Shall scrutinize the applications and select the candidates for Ph.D. registration considering the proposed topic of research, synopsis and presentation made by the candidate.
- (ii) Shall consider the eligibility of supervisors.
- (iii) Shall consider for ratification of partial modification of the title of thesis and/or any other agenda placed by the COE.

## **17. RESEARCH COMMITTEE OF THE UNIVERSITY (RCU):**

**17.1** The RCU shall consist of at least five members: The RCU shall be constituted by the Vice-Chancellor. The RCU is to be convened at any time of the year, as per the approval by the Vice-Chancellor. The Controller of Examinations shall be the convener of RCU.

### **17.2 Functions of RCU:**

- (i) Shall consider the eligibility of an institution as a place of research.
- (ii) Shall deal with the adverse reports/lack of unanimous recommendation by the examiners/doubts on such matters.
- (iii) Shall deal with the complaints of the research supervisors/research scholars/examiners.
- (iv) Shall deal with all appeals on the decision of the SRC and Controller of Examinations.
- (v) Shall deal with such matters as may be brought before it for consideration by the Controller of Examinations.
- (vi) The decision of the RCU on matters related to Ph.D. guideline is final.

## **18. THE ROLE OF THE CONTROLLER OF EXAMINATIONS (COE):**

**18.1** Functions of the COE:

- (i) Issue notification with regard to the schedule of Ph.D. admission.
- (ii) Take steps for the formation of SRC and RCU every year academic year at the beginning of the academic year.
- (iii) Convene the meetings of the SRC intimating the date, time and venue, giving a notice at least two weeks beforehand.
- (iv) Place the applications, received by the office, before the SRC.
- (v) Conduct the Entrance Test and Interview (ET&I). The COE shall intimate the candidate the status of his/her registration on the basis of the SRC recommendation and subsequent approval by the Vice-Chancellor to apply for Ph.D. registration in **Appendix-IV**.
- (vi) Shall receive and approve the application of the candidate (**Appendix-IX**) for partial modification of the title of thesis if justification is given by the Supervisor (s) and place before the next SRC for ratification. If the SRC does not ratify, then the matter be placed by the COE before the Vice-Chancellor, whose decision is final on the matter.
- (vii) Shall place the list of examiners in the BOS and place the recommendation of the BOS before the Vice-Chancellor for approval.

- (viii) Shall request consent of examiners for examination of thesis in **Appendix-X**, from the panel approved by the Vice-Chancellor, send the thesis to the examiners after receiving consent in **Appendix-XI**, receive examiner's report (**Appendix-XII**), approve from the Vice-Chancellor, the schedule of viva-voce, if required, and notify the result.
- (ix) Shall request the BOS to submit another panel of 8 to 10 names to be selected as examiners of the thesis, if nobody from the approved list agrees to be examiner. The COE shall place the fresh list before the Vice-Chancellor for approval and follow subsequent steps of evaluation.
- (x) Shall constitute the Board of Examiners for viva-voce examination of Ph.D., if required.
- (xi) Shall communicate any adverse comment from the examiner immediately to the Supervisor(s) and the candidate after getting approval by the Vice-Chancellor for compliance by the candidate. The COE shall not wait for reports of all examiners to communicate the adverse report, if any. The candidate may be allowed by the COE to offer clarification, if any, carryout the suggested changes and resubmit the thesis within six months from the dispatch of the adverse comment/report. The matter may be placed by the COE before SRC for final decision.
- (xii) Shall submit a soft copy of the Ph.D. thesis to the UGC within 30 days of notification of award for hosting the same in INFLIBNET, which will be accessible to all.
- (xiii) Shall carryout any other function related to Ph.D. as per the direction of the Vice-Chancellor.

**19. FUNCTIONS OF BOARD OF STUDIES:**

- Shall recommend the course curriculum of the Pre-Ph.D. coursework.
- Shall prepare the panel of examiners submitted by the supervisor by addition/deletion etc. The panel shall remain valid for two years.

**20. FEE STRUCTURE:** The structure of fees and processing charges shall be decided by the Syndicate from time to time. The existing fee structure is given in Table. 1

**Table 1. Fee Structure for Ph.D. Programme.**

<b>Sl. No.</b>	<b>Fees</b>	<b>Amount</b>	<b>Application Form</b>
1.	Entrance Test and Interview (ET&I)	₹ 1000/	Appendix-I
2.	Pre-Ph.D. Coursework (PPC) and Evaluation	₹ 5000/	Appendix-II
3.	Presentation of Synopsis	₹ 1000/	Appendix-III
4.	Application for Ph.D. Registration	₹ 4000/	Appendix-IV
5.	Extension of Registration (Per year or its part)	₹ 2000/	Appendix-V
6.	Application to be recognized as Supervisor	-	Appendix-VI
7.	List of names of Examiners	-	Appendix-VII
8.	Change of Supervisor	₹ 500/	Appendix-VIII
9.	Partial modification of Title of thesis	₹ 500/	Appendix-IX
10.	Evaluation of Thesis with single Supervisor	₹ 10,000/	-
11.	Evaluation of Thesis with two Supervisors	₹ 12,000/	-
12.	Duplicate Registration Number	₹ 200/	-
13.	Original Certificate	₹ 500/	-
14.	Adjudication of the Ph.D. thesis.		Appendix-X

\* The fee structure is subject to change from time to time as per the decision of the Syndicate.

\* All payments shall be made in favour of the Comptroller of Finance, North Orissa University payable at Baripada.

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA** **APPLICATION**  
**FOR ENTRANCE TEST AND INTERVIEW FOR Ph.D. (ET &I)**

SUBJECT:.....

1. Name of the Applicant:.....  
(IN CAPITAL LETTERS)

2. Name of Father/ Spouse:.....

3. Present Address:

Telephone/Mobile No.....E-mail ID:

4. Percentage of marks secured at Master's Level:

(Please provide photocopy of mark/grade sheet and certificate of qualifying Examination. SC/ST applicants are required to submit photocopy of SC/ST Certificate)

5. Date of birth:.....

6. Nationality.....

7. Sex.....

8. Whether passed M.Phil. in concerned subject as per UGC guideline 2009: YES/NO

If yes, Subject.....

(Please submit photocopy of mark sheet/certificate)

9. Bank Draft: No. ....Date.....

Bank Details: Name of Issuing

Bank.....Branch:.....

(If a candidate has M.Phil. Degree in the concerned subject, as per Clause 3.3, the candidate shall deposit only Rs. 500/ in stead of Rs. 1000/)

10. Consent of Supervisor:

Name and Address of the Supervisor:

Telephone Number.....

E-mail ID.....

I am willing to supervise Mr./ Ms.....for a Ph.D. degree under North Orissa University if h/she is selected in the preliminary entrance test and interview.

.....  
(Signature of the proposed Supervisor)

Date

.....  
(Signature of the Applicant)

Date

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**  
**APPLICATION FOR PRE- Ph.D. COURSEWORK (PPC)**

SUBJECT:.....

1. Name of the Applicant:.....  
(IN CAPITAL LETTERS)
2. Name of Father/Husband/Spouse:.....
3. Present Address:.....  
 Telephone/Mobile No.....  
 E-mail ID:.....

**4. Educational Qualification:**

Name of the Examination	Board/University	Year of Passing	Percentage of Marks scored
Master's Degree			
M.Phil.			

(Copies of all certificates and mark/Grade sheets are to be attached with application form)

5. Whether Employed (Yes/No): .....  
 (If employed, no objection certificate of the employer is to be submitted)
6. Whether qualified in entrance test and interview?.....  
 (Please submit a photocopy of the letter/notification issued by the COE)
7. Subject in which research is to be conducted:.....  
 (As per the provision of the Regulation).
8. Place at which the candidate desires to carry out coursework:.....  
 (Please mention your choice from among the list of designated Centers)
9. Bank Draft: No. ....Date.....

Bank Details: Name of Issuing

Bank.....Branch:.....

.....

(Signature of the Applicant)  
with date

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**  
**APPLICATION FOR PRESENTATION OF SYNOPSIS, FOR Ph.D.**

SUBJECT:.....

1. Name of the Applicant:.....  
(IN CAPITAL LETTERS)

2. Name of Father/Husband/Spouse:.....

3. Present Address:.....

Telephone/Mobile No.....

E-mail ID:.....

4. Educational Qualification:

Name of the Examination	Board/University	Year of Passing	Percentage of Marks scored
Master's Degree			
M.Phil.			

(Copies of all certificates and mark sheets are to be attached with application form)

5. Whether completed coursework?.....  
(Please submit a photocopy of the certificate)

6. Subject in which research is to be conducted:.....  
(As per the provision of the Regulation)

7. Title of  
Synopsis.....

.....  
(Submit three copies of the Synopsis. The synopsis should contain Objective of proposed research, Methods to be used, Available Literature, Time frame)

8. Name and address of the Supervisor:

a. Name.....

b. Qualification.....

c. Number of students already registered:.....

d. Address:

- e. Telephone/ Mobile No. ....
  - f. E-mail ID.....
  - g. Signature .....
9. Co-supervisor (if any):
- a. Name.....
  - b. Qualification.....
  - c. Number of students already registered:.....
  - d. Address:
  - e. Telephone/ Mobile No. ....
  - f. E-mail ID.....
  - g. Signature .....
10. Whether the supervisor(s) are recognized by the University to supervise Ph.D. scholars:  
(If yes, provide supporting documents, if no, then the person is required to apply in Appendix-VI.)
11. Place of research:.....
12. Permission of the Head of the Institute, where work is proposed to be carried out.  
Certified that the undersigned has no objection if Mr./Ms.  
.....  
Is allowed to take the help of this Department/Institute as a place of research for his/her Ph.D. work under North Orissa University. He/She will be allowed to use facilities available in the institute to carry out Ph.D. work.  
Name: .....Designation.....  
Signature.....  
Seal:
13. Bank Draft: No. ....Date.....Amount .....
- Bank Details: Name of Issuing Bank.....
- Branch:.....
14. Whether employed: YES/NO  
(If yes, permission of the Employer must be submitted)  
  
Certified that the undersigned has no objection to allow Mr./Ms.....

Who is an employee of this organization, to be registered for Ph.D. Degree under North Orissa University.

Name: .....

Signature:.....

Designation:.....

Seal:.....

15. Certificate by the candidate and the supervisor(s):

I. Certified that the subject proposed for the Ph.D. work has not been submitted to this or any other University/Institute for registration of any degree.

II. Justification of a co-supervisor:

Signature of the Supervisor:

Date

Signature of the Co-supervisor (if any)

Date

Signature of the Candidate:

Date

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**  
**APPLICATION FOR Ph.D. REGISTRATION**

SUBJECT:.....

1. Name of the Applicant:  
(IN CAPITAL LETTERS)

2. Name of Father/Husband

3. Present Address:

Telephone No./ Mobile No:

E-mail ID:.....

4. Permanent Address:  
 (If different from above)

5. Date of birth:.....

6. Nationality.....

7. Sex.....

8. Educational Qualification:

Name of the Examination	Board/University	Year of Passing	Percentage of Marks secured / Grade	Subjects taken

(Copies of all certificates and mark sheets are to be attached with application form)

9. Whether successfully presented the synopsis: YES/NO  
 (Please submit a photocopy of the letter of the COE)

10. Whether successfully completed Pre-Ph.D. Coursework?.....  
 (Please submit a photocopy of the Certificate)

11. Subject in which research is to be conducted:.....  
 (As per the provision of the Regulation)

12. Proposed title of the Ph.D. thesis:.....

**13. Supervisor:**

- a. Name.....  
.....
- b. Qualification.....  
.....
- c. Number of students already registered:.....
- d. Address:
- e. Telephone/ Mobile No. ....
- f. E-mail ID.....
- g. Signature .....

**14. Proposed Co-supervisor (if any):**

- a. Name.....  
.....
- b. Qualification.....  
.....
- c. Number of students already registered:.....
- d. Address:
- e. Telephone/ Mobile No. ....
- f. E-mail ID.....
- g. Signature .....

**15. Justification for taking a Co-supervisor:**

(Separate Annexure may be attached, if required)

**16. The scholar is a befitting person, who is well conversant with the work, s/he intends to undertake for Ph.D.**

Signature of the proposed Supervisor.....  
with date

Signature of the proposed Co-supervisor.....  
with date

Signature of the Applicant.....  
with date

**Declaration**

I hereby declare that I have not registered for Ph.D. earlier under North Orissa University or any other University.

Signature of the applicant with date  
Address:

**NORTH ORISSA UNIVERSITY  
SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA  
APPLICATION FOR EXTENSION OF Ph.D. REGISTRATION**

**SUBJECT:.....**

**1. Name of the Applicant:.....  
(IN CAPITAL LETTERS)**

**2. Name of  
Father/Husband/Spouse:.....**

**3. Date of Ph.D. Registration:.....**

**4. Registration Number:.....  
(Please attach photocopy of Registration certificate issued by the University)**

**5. Please mention whether applying for First/Second/ Third Extension:.....  
(Please attach photocopy of letter of University)**

**6. Title of Ph.D. thesis: .....**

**7. Reason for Extension (If required, separate sheet may be attached)**

**8. Extension time required:.....  
(Please note that no more than one year extension will be allowed at a time)**

**9. Bank Draft: No. ....Date.....**

Bank Details: Name of Issuing Bank.....Branch:.....

.....  
(Signature of the Supervisor)  
with date

.....  
(Signature of the Co-Supervisor, if  
any) with date

.....  
(Signature of the Applicant)  
with date

**NORTH ORISSA UNIVERSITY  
SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**

**APPLICATION FOR CONSIDERATION TO BE RECOGNIZED AS A  
SUPERVISOR**

**SUBJECT:**.....

**1. Name of the Applicant:**.....

(IN CAPITAL LETTERS)

**2. Name of**

**Father/Husband/Spouse:**.....

**3. Designation & Present Place of Posting:**.....

(Please mention if still in service or retired)

**4. Date of Ph.D. Award:**.....

**5. Subject at Master's Degree.....Subject at Ph.D. Degree.....**

**6. Title of Thesis:**.....

(Please submit a copy of the Ph.D. notification/ certificate)

**7. Completed Year of Teaching Experience:.....Research Experience.....**

**8. Total Number of Research Publications: (Excluding Abstracts).....**

**8.1 List of Five Best Research Publications:**

Sl. No.	Title of the paper	Name of the journal with Volume and Page	Impact factor	Citation index

(Please Submit Photocopies/Reprints of five selected publications in the relevant field)

**9. Please mention if you are a recognized Supervisor/Guide of any other**

University:.....

(If yes, please submit reference)

**10. All information given above are true to the best of my knowledge and belief.**

.....  
(Signature of the Proposed

Supervisor) Date:

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**

**LIST OF EXAMINERS**

1. **SUBJECT:**.....
2. **Name of the Candidate**.....  
(IN CAPITAL LETTERS)
3. **Name of the Supervisor(s):**.....
4. **Title of Thesis:**.....
5. **List of Proposed Examiners:**

Sl No.	Name	Address	Specialization	Experience (Years) Research/ Teaching	Telephone Number(s)	E-mail id
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

Signature of the Supervisor

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**  
**APPLICATION FOR CHANGE OF SUPERVISOR**

SUBJECT:.....

1. Name of the Applicant:.....  
(IN CAPITAL LETTERS)
2. Registration Number & Date of Registration:.....
3. Title:
4. Name of Supervisor:
5. Name of Co-supervisor (if any):
6. Name of the new Supervisor/co-supervisor: Address:  
Telephone Number:
7. Please give justification/reason of change of Supervisor:  
(please attach a separate sheet, if required)
8. Please mention if the new proposed supervisor is already a recognized supervisor of the University (please submit reference).
9. Recommendation of the Head of the Department/Institute:  
This is to certify that the Department/Institution is convinced about the necessity of change of supervisor and recommends the name of the above mentioned person to act as the new Supervisor/co-supervisor.

Signature of the Head of the Department/Institute..... (Office Seal)

Signature of the applicant with date.....

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**  
**APPLICATION FOR PARTIAL MODIFICATION OF TITLE OF THESIS**

SUBJECT:.....

1. Name of the Applicant:.....  
(IN CAPITAL LETTERS)
2. Registration Number & Date of Registration:.....
3. Title of the thesis which was approved at the time of Registration:
4. Modified title of the thesis to be submitted:
5. Justification of such modification:  
(Additional sheet may be attached, if required)

Signature of the applicant.....

Date

This is to certify that the minor modification of the title is necessary for following reasons:

.....

.....

Signature of the Supervisor

Date

Signature of the Co-Supervisor

Date

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**

No. .... / NOU .....

Date.....

To

.....  
.....  
.....

Sub: Adjudication of the thesis submitted by

.....  
.....

Subject:.....

Title of thesis.....

Sir/Madam,

I have the honour to inform you that the Vice-Chancellor has been pleased to appoint you as an examiner to adjudicate the above thesis for award of Ph.D. degree. You are requested to send your consent (In Appendix XI) within a fortnight of receipt of this letter to adjudicate the thesis so that steps shall be taken to dispatch the copy of the thesis to your address.

Please do not hesitate to contact me, if I will be of any assistance.

Yours faithfully

Controller of Examinations

To  
The Controller of Examinations  
North Orissa University  
Sriram Chandra Vihar  
Baripada- 757003  
Mayurbhanj, ODISHA

Subject: Adjudication of Ph.D. thesis submitted by.....

Title:.....

Ref: North Orissa University Letter No..... Dated.....

Sir/Madam,

In inviting reference to the letter cited above, I accept/ do not accept  
the appointment to adjudicate the above candidate.

.....

Signature of the Examiner

Address:

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**

Report of the thesis for the degree of Doctor of Philosophy in Arts, Science, Technology, Commerce & Management, Education, Law and Medicine etc. under the North Orissa University.

PART A (To be filled up by the Office)

1. Name of the candidate:
2. Name of the  
Subject: Faculty:
3. Title of the thesis:

PART B (To be used up by the Examiner)

4. Report (separate sheet may be used)
  5. Do you recommend that the thesis be accepted for the award of the degree? (Please write Recommended or Not recommended)
  6. If recommend, do you suggest that the queries/suggestions, if any, pointed out by you in your report shall be met by the candidate and submitted before the viva-voce board to the satisfaction of the members of the Board of Examiners. (Please write Yes or No).
  7. If not recommended, do you suggest that the thesis be re-submitted after necessary revision. (Please write 'Yes' or 'No')
- If yes, kindly suggest the necessary modification required to be done by the candidate in the report. (In such a situation, the revised thesis will be sent to the examiner concerned for further evaluation).

**Date:**

**Signature of the Examiner**  
**Address:**

**NORTH ORISSA UNIVERSITY**  
**SRIRAM CHANDRA VIHAR, BARIPADA-757003, ODISHA**

Report of the Board of Examiners on the viva-voce of the candidate for the degree of Doctor of Philosophy in Arts, Science, Technology, Commerce & Management, Education, Law and Medicine etc. under the North Orissa University.

PART A (To be filled up by the Office)

1. Name of the candidate:
2. Name of the  
Subject: Faculty:
3. Title of the thesis:

PART- B (To be used up by the Board of Examiners)

4. Report (Separate sheet may be used)
5. On the basis of the performance of the candidate in the viva-voce and considering the report of the examiners of the thesis, we recommend/ do not recommend the award of the degree of Doctor of Philosophy to:

.....  
(Name of the candidate)

6. We certify that:
  - I. We have examined the reports of the examiners of the thesis and
  - II. The queries/ mistakes/ suggestions reported by the examiners have been/ have not been compiled with by the candidate to our satisfaction.

Signature of the Chairman, Board of Examiners.

Signature and Name of the Members of the Board of Examiners

Signature of the members of SRC